

**Tasks at hand**

<b>Status</b>	<b>Document</b>	<b>Referring policy</b>	
	Document assignment of security responsibility/ISO	EPHI1/164.308(a)(2)	TBC
<b>Not applicable</b>	Isolating health care clearinghouse function	164.308(a)(4)(ii)(A)	√
<b>Started, (1) presentations (2) To be done – merge with privacy training in Rascal.</b>	Information security awareness and training process	164.308(a)(5)(i)	√
<b>Language is available</b>	Change in Business Associate Agreement	164.308(b)(1,2(i,ii,iii),3,4), 164.314(a)	TBC
<b>DONE Added to EPHI2</b>	Emergency access procedure	164.312(a)(2)(ii)	√
<b>Started, discussion with Dental</b>	Group health plan	164.314(b)	TBD
<b>Need Help</b>	How to address students for workforce checks	EPHI6	TBD
<b>Started</b>	Discussions with IRB		√
<b>Chosing vendor to train and help collect questionnaires for 'limited access'</b>	Continuing Risk Analysis	Updated 'Limited Access' questionnaire	
<b>Not started, expect minimal changes</b>	Sanctions policy	164.308(a)(1)(ii)(C)	TBC
<b>New work</b>	Encryption Guidance	Document to be created	TBD

**INFORMATION SECURITY POLICIES LIST  
(Health Insurance Portability and Accounting Act of 1996, 45 164.316(a, b))**

<b>Number</b>	<b>Policy name</b>	<b>HIPAA CFR section number</b>	
EPHI1	Information security management process policy	164.308(a)(1)(i, ii (A, B)), 164.308(a)(2),	√
EPHI2	Information access management and control policy	164.308(a)(4)(i, ii (B, C)), 164.312(a)(1, 2(i iv)), 164.312(c)(1, 2), 164.312(d), 164.312(e)(1, 2 (i, ii)))	√
EPHI3	General information security policy	164.308(a)(5)(ii)(A, B, D), 164.312(a)(2)(iii)	√
EPHI4	Information security: Audit and evaluation policy	164.308(a)(1)(ii)(D), 164.308(a)(5)(ii)(C), 164.308(a)(8), 164.312(b)	√
EPHI5	Workstation use and security policy	164.310(b, c)	√
EPHI6	Workforce security clearance, termination and authorization policy	164.308(a)(3)(i, ii (A, B, C))	√
EPHI7	Information security: Backup, device and media controls	164.310(d)(1, 2 (i, ii, iii, iv))	√
EPHI8	Information security: Facilities access control and security policy	164.310(a)(1, 2 (i, ii, iii, iv))	√
EPHI9	Information security: Disaster contingency and recovery plan policy	164.308(a)(7)(i, ii (A, B, C, D, E))	√
EPHI10	Information security incident procedure policy	164.308(a)(6)(i, ii)	√
	Sanctions policy	164.308(a)(1)(ii)(C)	TBC

TBC: To Be Completed, TBD: To Be Done

**INFORMATION SECURITY STANDARDS LIST (164.316(b))**

<b>Number</b>	<b>Procedure name</b>	<b>Referring policy</b>	
ISS1	Information security risks standard	EPHI1	✓

**APPLICATION SECURITY DOCUMENTS LIST (164.316(b))**

<b>Number</b>	<b>Document name</b>	<b>Referring policy</b>	
ISD1	Access authorization grid/rules	EPHI2	✓
ISD2	Custodian and vendor (non-end-user) access	EPHI2	✓
ISD3	Generic userID management	EPHI2	✓
ISD4	Ports, services, files protection	EPHI3	✓
ISD5	Security Metrics	EPHI3, EPHI4, EPHI10	✓
ISD6	Outsourced asset information		✓
ISD7	Physical security, media security, media disposal	EPHI8	✓
ISD8	Emergency access, DR/backup/contingency	EPHI9	✓
ISD9	Audit Mechanisms and Login Process	EPHI4	✓
ISD10	Information security analysis questionnaire	EPHI1, EPHI4	✓
ISD11	Information security analysis questionnaire (Limited Access)	EPHI1	✓

**INFORMATION SECURITY PROCEDURES LIST (164.316(b))**

<b>Number</b>	<b>Procedure name</b>	<b>Referring policy</b>	
ISP1	Center-wide identifier creation procedure	EPHI2	✓
ISP2	Termination procedure	EPHI2	✓

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**INFORMATION SECURITY GUIDANCE LIST (164.316(b))**

<b>Number</b>	<b>Guidance name</b>	<b>Referring policy</b>	
ISG1	Good password guidance	EPHI3	√