# **RESEARCH ARTICLE**

# Whole genome sequencing of Caribbean Hispanic families with late-onset Alzheimer's disease

Badri N. Vardarajan<sup>1,2,3</sup>, Sandra Barral<sup>1,2,4</sup>, James Jaworski<sup>5</sup>, Gary W. Beecham<sup>5</sup>, Elizabeth Blue<sup>6</sup>, Giuseppe Tosto<sup>1,2</sup>, Dolly Reyes-Dumeyer<sup>1,2</sup>, Martin Medrano<sup>7</sup>, Rafael Lantigua<sup>1,8</sup>, Adam Naj<sup>9</sup>, Timothy Thornton<sup>10</sup>, Anita DeStefano<sup>11</sup>, Eden Martin<sup>5</sup>, Li-San Wang<sup>9</sup>, Lisa Brown<sup>10</sup>, William Bush<sup>12</sup>, Cornelia van Duijn<sup>13</sup>, Allison Goate<sup>14</sup>, Lindsay Farrer<sup>11</sup>, Jonathan L. Haines<sup>12</sup>, Eric Boerwinkle<sup>15</sup>, Gerard Schellenberg<sup>9</sup>, Ellen Wijsman<sup>6,10</sup>, Margaret A. Pericak-Vance<sup>5</sup> & Richard Mayeux<sup>1,2,3,16,17</sup> for The Alzheimer's Disease Sequencing Project<sup>a</sup>

<sup>1</sup>The Taub Institute for Research on Alzheimer's Disease and the Aging Brain, New York

<sup>2</sup>The Gertrude H. Sergievsky Center, Columbia University, The New York Presbyterian Hospital, New York, New York

<sup>3</sup>Department of Systems Biology, Columbia University, The New York Presbyterian Hospital, New York, New York

<sup>4</sup>The Department of Neurology, Columbia University, The New York Presbyterian Hospital, New York, New York

<sup>5</sup>Dr. John T. Macdonald Foundation Department of Human Genetics, The John P. Hussman Institute for Human Genomics, Miami, Florida

<sup>6</sup>Division of Medical Genetics, Department of Medicine, University of Washington, Seattle, Washington

<sup>7</sup>School of Medicine, Mother and Teacher Pontifical Catholic University, Santiago, Dominican Republic

<sup>8</sup>Department of Medicine, Columbia University, The New York Presbyterian Hospital, New York, New York

<sup>9</sup>School of Medicine, University of Pennsylvania, Philadelphia, Pennsylvania

<sup>10</sup>Department of Biostatistics, University of Washington, Seattle, Washington

<sup>11</sup>Boston University School of Medicine, Boston, Massachusetts

<sup>12</sup>Department of Biostatistics and Epidemiology, Case Western Reserve University, Cleveland, Ohio

<sup>13</sup>Erasmus University Medical Center, Rotterdam, Netherlands

<sup>14</sup>Mount Sinai School of Medicine, New York, New York

<sup>15</sup>University of Texas, Houston, Texas

<sup>16</sup>Department of Psychiatry, Columbia University, The New York Presbyterian Hospital, New York, New York

<sup>17</sup>The Department of Epidemiology, School of Public Health, Columbia University, New York, New York

#### Correspondence

Richard Mayeux, Department of Neurology, 710 West 168<sup>th</sup> Street, Columbia University, New York, NY 10032. Tel: 212 305 2391; Fax: 212-305-2518; E-mail: rpm2@cumc.columbia.edu

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#### Abstract

Objective: To identify rare causal variants underlying known loci that segregate with late-onset Alzheimer's disease (LOAD) in multiplex families. Methods: We analyzed whole genome sequences (WGS) from 351 members of 67 Caribbean Hispanic (CH) families from Dominican Republic and New York multiply affected by LOAD. Members of 67 CH and additional 47 Caucasian families underwent WGS as a part of the Alzheimer's Disease Sequencing Project (ADSP). All members of 67 CH families, an additional 48 CH families and an independent CH case-control cohort were subsequently genotyped for validation. Patients met criteria for LOAD, and controls were determined to be dementia free. We investigated rare variants segregating within families and gene-based associations with disease within LOAD GWAS loci. Results: A variant in AKAP9, p.R434W, segregated significantly with LOAD in two large families (OR = 5.77, 95% CI: 1.07-30.9, P = 0.041). In addition, missense mutations in MYRF and ASRGL1 under previously reported linkage peaks at 7q14.3 and 11q12.3 segregated completely in one family and in follow-up genotyping both were nominally significant (P < 0.05). We also identified rare variants in a number of genes associated with LOAD in prior genome wide association studies, including CR1 (P = 0.049), BIN1 (P = 0.0098) and SLC24A4 (P = 0.040). Conclusions and Relevance: Rare variants in multiple genes influence the risk of LOAD disease in multiplex families. These results suggest that rare variants may underlie loci identified in genome wide association studies.

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# Introduction

Late-onset Alzheimer's disease (LOAD) is the most common form of dementia in older adults, it lacks an effective treatment and represents an enormous societal burden. The disease is characterized by progressive deterioration of memory and cognitive functions, leading to loss of autonomy and ultimately requiring full-time medical care. Pathologically, LOAD is defined by severe neuronal loss, aggregation of amyloid  $\beta$  (A $\beta$ ) in extracellular senile plaques, and formation of intra-neuronal neurofibrillary tangles consisting of hyper-phosphorylated tau protein.

Over the past decade, genetic research in LOAD has been dominated by genome-wide association studies (GWAS), a hypothesis-free scan of the genome using dense genotyping arrays based on common variants (single nucleotide polymorphisms, SNPs). Several genes within LOAD susceptibility loci cluster in specific pathways,<sup>1-6</sup> including amyloid processing, oxidative stress and immune or inflammatory pathways. Collectively, GWAS demonstrates that apart from the strongest risk factor, APOE-ɛ4 a large number of loci with modest effect size also contribute to LOAD risk. Common variants identified through GWAS may not have functional consequences, simply reflecting linkage disequilibrium with the unobserved causal variants. It is also possible that these causal variants are rare and have large effects, such as TREM2,<sup>7-13</sup> and are not covered by commercially available GWAS platforms. In fact, putatively damaging variants have already been identified (for example TREM2, SORL1, and ABCA7) in some of these LOAD susceptibility loci, advancing our understanding of disease risk.<sup>14-16</sup>

Whole genome sequencing (WGS) provides a comprehensive and detailed investigation of human genetic variation allowing interrogation of coding and noncoding regions of the genome. Increasingly, WGS studies have provided the strongest evidence that rare genetic variants can have large cumulative effects on human diseases.<sup>17-20</sup> Family-based studies represent an implicit enrichment strategy for identifying rare variants.<sup>21</sup> Transmission of variants from parents to offspring maximizes the chance that multiple copies of rare variants exist in the pedigree. Moreover, compared with analyses using unrelated samples, sequencing in families provides data concerning identical-by-descent or sharing among relatives, greatly reducing false positives and permitting detection of sequencing errors, while facilitating the identification of alleles that cause genetic disorders.<sup>22,23</sup>

In the current report, we focused on Caribbean Hispanic families multiply affected by LOAD. The frequency of LOAD among multiplex families from the Dominican Republic is known to be significantly higher (5-fold) than expected for similarly aged individuals in a non-Hispanic white population from the United States.<sup>24</sup> Furthermore, we found that a moderate degree of inbreeding was present and a predictor of LOAD risk in this population.<sup>25</sup> As part of the national Alzheimer's Disease Sequencing Project (ADSP), we identified several chromosomal regions with strong evidence for linkage in Caribbean Hispanic families with multiple LOAD cases.<sup>26</sup> In the present study, we used WGS data (Data S1) from 67 families as discovery to detect rare variants in previously identified linkage regions and in previously reported LOAD candidate genes. Genotyping in additional WGS on additional 47 Caucasian families were used to replicate the findings from the CH families.

# **Material and Methods**

#### **Family characteristics**

All participants (Table 1) were recruited after providing informed consent and with approval by the relevant institutional review boards both in the United States and in

 
 Table 1. Demographics of the Caribbean-Hispanic families and casecontrol cohorts used in WGS and validation genotyping.

Pedigrees Sequenced in ADSP discovery	
Number of pedigrees	67
Total number of subjects sampled	860
Average subjects sampled per pedigree	$12.84 \pm 7.28$
Total number of subjects sequenced	351
Average samples sequenced in each pedigree	$5.24\pm1.67$
Affected	302
Unaffected	49
Average affected per pedigree	$7.42\pm3.61$
Age (sequenced individuals)	$73.02\pm10.0$
Women (%)	58.72
APOE	17.03%
Additional pedigrees used in validation genotyping	
Number of pedigrees	48
Total number of subjects sampled	404
Average subjects sampled per pedigree	$8.41\pm4.8$
Average affected per pedigree	$5.08\pm2.3$
Age	$70.76 \pm 10.11$
Women (%)	65
APOE	29.90%
Unrelated case–control set	
Total number of subjects sampled	450
No of affected individuals	152
Age (affecteds)	$85.5\pm6.5$
Age	$79.3\pm6.7$
Women (%)	68.7
APOE	12.80%

the Dominican Republic. Patients, unaffected family members and healthy unrelated controls were required to have had standard neuropsychological tests and neurological examinations to verify their clinical status and diagnosis. Most individuals have been evaluated on multiple occasions over the past 10 years. Families in which patients had known early-onset disease mutations in APP, PSEN1, PSEN2, GRN, or MAPT were excluded from this analysis to increase power of discovery variants predisposing to LOAD. All selected probands came from families with three or more affected individuals recruited in the Dominican Republic and New York. Recruitment for this family study began in 1998, and was restricted to Caribbean Hispanics,<sup>27,28</sup> predominately from the Dominican Republic. As a part of the ADSP, a set of 67 CH families and 47 Caucasian families were selected for whole genome sequencing from approximately 1400 families reviewed. Selection was based on the number of affected individuals, the number of generations affected, age at onset of clinical symptoms, and presence of APOEE4 alleles.<sup>29</sup>

# Whole Genome Sequence analysis of GWAS linkage peaks

Details about WGS methodology and variant calling pipelines can be found in Data S1. Three regions demonstrating linkage previously identified<sup>26</sup> using GWAS data were prioritized for WGS data analysis. Results from genome-wide linkage analysis revealed a strong linkage signal (HLOD = 3.6) on chromosomal region 3q29.<sup>26</sup> We previously reported microsatellite linkage analyses in this region as strongly associated with LOAD in Caribbean Hispanics,<sup>30</sup> including a single family that achieved a LOD score of 1.28. WGS data was generated for four of the family members with LOAD. Analysis of the WGS data was limited to an approximate 2 Mb region encompassing the 1-LOD interval around linkage peak (3q29: 197,052,973 bp-199,212,658 bp). We also selected two chromosomal regions where we observed genome-wide significant linkage and association signals across the families: 7p14.3 and 11q12.3.26 Annotation of the identified variants in these linkage regions was performed using the ANNOVAR software.31

# Whole genome sequence variants prioritization

### **Consensus linkage regions**

We followed a principled filtering strategy (Fig. 1, Table S4) to test the segregation of rare variants with LOAD status in the three linkage regions: 7p14.3 and 11q12.3 and 3q29 (Table S6). The first criterion used to

prioritize the identified variants was based on familial segregation of cases. We required that at least 75% of the patients with LOAD and WGS data in the families were carriers. Additionally we also prioritized variants that were observed in at least five affected individuals in multiple Caribbean Hispanic families.

We filtered variants with minor allele frequency (MAF) of less than 0.10 in the ADSP families and with an MAF of 0.02 in Caucasian and African American populations in ExAC database (for exonic variants)<sup>32</sup> and 1000 genomes project (for intronic and intergenic variants)<sup>33</sup> to restrict our analyses to rare variants in the population. Functional annotations were also used as filtering strategy, that is, variants were analyzed in order of increasing priority: exonic, inter-genic, intronic and others (non-coding RNA, 3'/5' UTR, upstream/downstream gene). We also included any intronic or intergenic variants with a Combined Annotation Dependent Depletion (CADD)<sup>34</sup> score of greater than 30 to include highly conserved and putative non-exonic regulatory variants in the analyses. Further criteria for variant prioritization included, additional LOAD patients from different families carrying the variant and that the variant was either not present or present in very low frequency in the non-demented family members.

#### Follow-up genotyping validation

To confirm putative variants that segregated in 67 discovery families and candidate GWAS loci, we genotyped all of the family members in the discovery families, an additional 48 families and unrelated, unaffected controls of the same ancestry and age (Table 1). The validation cohort consisted of Caribbean Hispanic individuals from 115 multiplex families and 450 unrelated cases and controls from the same ethnic background (Table 1). Population allele frequencies for novel variants were estimated from unaffected persons in the Caribbean Hispanic population from samples/families that we have amassed over 15 years. Genotypes were generated using the KASP<sup>TM</sup> genotyping technology which uses allele-specific polymerase chain reaction for accurate calling of single nucleotide variants (SNVs) and indels.<sup>35</sup>

#### Single variant association analyses

SNV genetic associations were restricted to individuals 60 years of age or older and were tested using General Linear Models in generalized estimating equations (GEE) to adjust for familial correlation in the data. The family ID was used as a repeat measure to account for correlation in the genotype data within family members. All analyses were adjusted for sex, age at last evaluation,



Figure 1. Filtering strategy to prioritize variants for follow-up analyses in the validation sample.

education, APOE-e4 genotype and the first ten principal components.

### LOAD GWAS and candidate loci

We tested genes associated with LOAD in large GWAS studies with multiple replication reports. We also included known early-onset AD genes and genes implicated in earlier sequencing efforts in LOAD.<sup>1–6,8–16</sup> Candidate genes evaluated included: APP, PSEN1, PSEN2, GRN, MAPT, TREM2, PLD3, APOE, ABCA7, SORL1, CR1, BIN1, CD2AP, EPHA1, CLU, MS4A6A, PICALM, CD33, HLA-DRB5, HLA-DRB1, PTK2B, SLC24A4, RIN3, INPP5D, MEF2C, NME8, ZCWPW1, CELF1, FERMT2, CASS4, TREML2, and AKAP9.

### Gene-based association analyses

Gene-based F-SKAT<sup>36</sup> analyses were conducted for genes in the three consensus linkage regions. The F-SKAT test was restricted to variants defined as exonic and rare (<2% in Europeans, African Americans and overall in the ExAC database). To define a testable-set, we used two sets of variants in the gene-based test based on functional annotation: (1) Stop-loss, stop gain and missense damaging variants (as defined by SIFT<sup>37</sup> or PolyPhen<sup>38</sup>) and (2) including all non-synonymous variants. We also tested gene-sets that included both loss of function variants and variants that had a high CADD score (>30). The CADD score filter captures putatively non-functional variants in the non-coding regions. For most genes (Table S5), we did not observe variants in non-coding regions with a high CADD score. In addition functional characterization of GWAS loci have indicated that cis-regulation is a common mechanism underlying these associations.<sup>39</sup> The most frequent elements affected are transcriptional enhancers and silencers that regulate transcription through long-range interactions, typically located more than 1Kb from their target genes. Therefore, to examine the top GWAS signals,<sup>4</sup> we have considered a chromosomal region of 1 Mb upstream and 1 Mb downstream the reported GWAS loci. We conducted F-SKAT analyses of genes within  $\pm 1$  Mb encompassing the reported LOAD-GWAS SNPs. Each gene was independently assessed for association with LOAD using F-SKAT with the modified R code to adjust for family structure. We tested models of association also adjusting for (1) age, sex, population substructure, ancestry proportions for African-American and native American ancestry (Data S1) and (2) including *APOE-* $\epsilon$ 4 dosage with other covariates. Analyses were subsequently repeated adjusting for the possible effect of the reported GWAS SNP to assess the additional contribution of rare variants in conferring LOAD risk. We also conducted region-based FSKAT test combining variants from all the genes in the 7p14.3 and 11q12.3 regions to assess the joint burden of all variants together in the region.

# Results

# Families

As detailed in Table 1, 67 Caribbean-Hispanic families underwent WGS. The families consisted of 351 individuals (302 individuals with LOAD and 49 unaffected family members) with average age of  $73.02 \pm 10$  years, 59% were women. Seventeen percent were carriers of APOE- $\epsilon$ 4 allele. On average, approximately five individuals were sequenced within each of the families. For validation genotyping, we genotyped all the members of the 67 families, an additional 48 Hispanic families and an independent elderly case control cohort of the same ethnic background. The additional 48 Hispanic families had similar characteristics to the discovery families (Table 1). These families also had, on average, five affected individuals, but had a higher frequency for the *APOE*  $\epsilon$ 4 allele (29.9%).

# Linkage region in a single Caribbean-Hispanic family at 3q29

After applying quality control metrics, we identified a total of 6551 sequence variants under the 3q29 linkage peak. Applying the filters in the prioritization pipeline, we identified 11 rare SNVs: six intronic and five inter-genic variants. The 11 identified SNVs were genotyped in 1,720 Caribbean Hispanic unrelated individuals. The strongest association with LOAD ( $\beta = 0.83$ , SE = 0.45, P = 0.064) was found for an intronic variant rs186972238 in the *LRCH3* gene. A complex pattern of inheritance with incomplete penetrance emerged when the variant segregation pattern was evaluated within each of these families.

## Locus 7q14.3

A total of 35,376 high quality SNVs were observed in 67 families from the WGS experiment and subsequent QC,

of which 26,654 SNVs were observed a frequency of <0.10 in the ADSP families. Applying the filtering criteria described above (Fig. 1), we observed 12 non-synon-ymous and one synonymous mutation that were observed in at least two affected individuals and absent in unaffected members (Table S1).

# Locus 11q12.3

A total of 19,106 SNVs were observed in the 11q12.3 linkage regions in the 67 ADSP families of which 149 SNVs were putatively damaging (stop-loss, stop-gain or damaging classified by SIFT or PolyPhen) and novel or rare (Fig. 1). Forty variants were observed to be perfectly or imperfectly segregating in at least one family. Remarkably, p. V707I in *TMEM132A* was observed in two families with complete segregation in one family. (Table S2)

### Analyses of loci under linkage peaks

We selected 14 variants under the linkage peaks (10 from 11q12.3 and 4 from 7q14.3) for follow-up genotyping in additional family members and unrelated controls. We chose variants that fulfilled at least one of the following criteria: (1) variants observed in two or more Caribbean Hispanic families in at least five affected LOAD individuals, and further prioritized if observed in affected individuals in Caucasian families or showing segregation with LOAD and (2) variants observed in at least four affected LOAD individuals and no unaffected individuals from one Caribbean Hispanic family with CADD score >15. We tested SNV association with LOAD using a GEE model to adjust for familial correlation. We found nominally significant association (P < 0.05) for two SNVs each in MYRF and ASRGL1, respectively, (Table 2).

# Segregation of variants in established GWAS loci

We evaluated the segregation with LOAD of the 147 observed rare functional variants in 30 candidate genes implicated in LOAD by GWAS,<sup>4,5</sup> next-generation sequencing or associated with early-onset Alzheimer's disease. Sixteen of these variants were segregating in at least one family (Table S3). Remarkably, five missense variants in *AKAP9* segregated with LOAD status in one or more families, p.R434W (Figs. 2 and 3, Tables S9 and S10) and p.I1448V (Fig. S1) were subsequently genotyped in the all members of these families, and in an additional 48 families and an independent case–control set to determine complete segregation and test association with LOAD. We also genotyped two missense

Table 2.	Results	from	follow-up	genotyping.
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	NO APOE- <i>ɛ</i> 4 <sup>1</sup>			APOE-e4 <sup>2</sup>				
SNV	BETA	SE	Р	BETA	SE	Р	GENE	
1-207680070-C-T	0.13	0.21	5.2E-01	0.15	0.21	4.6E-01	CR1	
1-207741237-C-T	1.61	0.99	1.0E-01	1.60	0.96	9.6E-02	CR1	
7-29035428-C-T	0.82	0.44	6.3E-02	0.80	0.43	6.2E-02	CPVL	
7-30876316-C-T	-0.58	0.42	1.7E-01	-0.50	0.41	2.3E-01	FAM188B	
7-31682771-C-T	0.87	1.25	4.9E-01	0.86	1.26	4.9E-01	CCDC129	
7-91630531-C-T	1.75	0.86	4.1E-02	1.84	0.88	3.8E-02	AKAP9	
7-91667736-A-G	0.35	0.35	3.2E-01	0.35	0.38	3.5E-01	AKAP9	
7-143091417-C-T	0.18	0.68	8.0E-01	0.19	0.67	7.7E-01	EPHA1	
11-60703423-G-A	0.06	0.45	8.9E-01	0.00	0.43	1.0E+00	TMEM132A	
11-61015721-G-A	0.93	0.54	8.5E-02	0.81	0.54	1.3E-01	PGA5	
11-61120560-G-A	-0.08	0.58	8.9E-01	-0.07	0.57	9.0E-01	CYB561A3	
11-61250246-G-A	0.10	0.50	8.4E-01	-0.02	0.47	9.6E-01	PPP1R32	
11-61546888-G-A	-0.55	0.26	3.8E-02	-0.49	0.26	5.9E-02	MYRF	
11-62286666-C-T	0.72	0.73	3.2E-01	0.75	0.75	3.2E-01	AHNAK	
11-62343562-G-C	1.09	0.50	2.8E-02	0.96	0.51	5.9E-02	ASRGL1	
11-62344743-C-T	0.72	0.73	3.2E-01	0.75	0.75	3.2E-01	MIR3654	
11-62400108-G-A	-0.31	0.36	4.0E-01	-0.26	0.36	4.7E-01	GANAB	
11-62886345-G-A	0.21	0.54	6.9E-01	0.24	0.54	6.6E-01	SLC3A2	
14-93142861-T-C	0.29	0.42	5.0E-01	0.31	0.42	4.6E-01	RIN3	
19-1057919-C-T	0.62	0.70	3.8E-01	0.58	0.67	3.9E-01	ABCA7	

 $^{1}$ Model- LOAD ~ Gene + Age + Sex + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components.  $^{2}$ Model- LOAD ~ Gene + Age + Sex + number of APOE  $\epsilon$ 4 alleles + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components. (nominally significant variants are highlighted in bold).





Figure 2. Segregation pattern of AKAP9 p.R434W in family 285 (Refer to Table S8 for conversion for EFIGA to ADSP ids).

variants in *CR1* and one variant each, from *EPHA1*, *RIN3*, and *ABCA7* (Table 2) and used GEE adjusting for familial correlation. p.R434W was nominally associated

with LOAD adjusting for age and sex (OR = 5.77; 95% confidence interval [CI] 1.07-30.9), P = 0.041) and *APOE-* $\epsilon$ 4 (OR = 6.3; CI: 1.11-35.54, P = 0.038).



Figure 3. Segregation pattern of AKAP9 p.R434W in family 348 (Refer to Table S9 for conversion for EFIGA to ADSP ids).

p.R434W also segregated with LOAD status in two large families (Fig. 2). p.R434W was predicted to be damaging by SIFT and Polyphen and had a highly deleterious CADD score of 32. Previously implicated variants in  $AKAP9^{40}$  (rs144662445 and rs149979685) were each observed in one LOAD patient.

#### **F-SKAT gene based tests**

Nominal association was found for *CR1* (P = 0.049), *SLC24A4* (P = 0.040) and *BIN1* (P = 0.0098). *CR1* and *SLC24A4* were also significant in replication study of the Caucasian families (P = 0.040 and 0.002, respectively). (Table 3). However, only rare variants in *BIN1* (P = 0.026) remained significant after adjusting for the significant GWAS-SNP that was previously associated with LOAD (Table 4).

As described in Table 4, the strongest LOAD associations after adjusting for the effect of the corresponding common variants were found in genes located in three loci: *HLA-DRB5*, *ZCWPW1* and *BIN1*. *MSH5* (P = 0.0026) and *HLA-DQA2* (P = 0.006) located in *HLA-DRB5* region, and *CYP3A43* gene (5.09E-05) located in *ZCWPW1* region were significantly associated with LOAD. *LGMN* in the *SLC24A4* region was modestly associated with LOAD in a model adjusted for *APOE* dosage (P = 0.033).

# Discussion

A variant in AKAP9, (p.R434W), a gene previously associated with LOAD in a case–control study among African Americans,<sup>40</sup> segregated in two large families and was nominally associated with LOAD, with fivefold increased risk adjusted for age, sex, and APOE-ɛ4. The two different

GENE	N_LOF	No APOE <sup>1</sup>	APOE <sup>2</sup>	
ABCA7	12	0.6655	0.7486	
AKAP9	9	0.6659	0.5895	
BIN1	3	0.0098	0.0139	
CASS4	2	0.3814	0.3871	
CIT	6	0.4767	0.5128	
CR1	9	0.0490	0.0830	
DSG2	5	0.4623	0.3587	
EPHA1	3	0.8159	0.8659	
FRMD4A	2	0.1807	0.1418	
INPP5D	4	0.5328	0.6067	
MAPT	5	0.7163	0.7605	
PSEN1	2	0.5914	0.7302	
RIN3	5	0.8367	0.8526	
SLC24A4	3	0.0433	0.0505	
SORL1	3	0.4285	0.4552	
TREM2	2	0.9846	0.9619	

 $^1\text{Model-}$  LOAD  $\sim$  Gene + Age + Sex + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components.

<sup>2</sup>Model- LOAD  $\sim$  Gene + Age + Sex + number of APOE  $\varepsilon$ 4 alleles + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components.

variants in *AKAP9* were previously identified, were considered rare in populations African-descent, and were not present in European-descent or East Asian-descent individuals in the 1000 Genomes database. *AKAP9* is located on chromosome 7q21-22, and it encodes a member of the A kinase anchoring protein (*AKAP*) family. The Akinase anchor proteins (*AKAPs*) are structurally diverse proteins that bind to the regulatory subunits of protein kinase A (*PKA*), confining the holoenzyme to discrete locations within the cell. Alternate splicing of this gene

GENE Chr	NO ADJUSTMENT FOR IGAP SNP <sup>1</sup>			ADJUSTING FOR IGAP SNP <sup>2</sup>					
	Chr	N_NS	NO APOE	APOE	N_NS	NO APOE	APOE	Top IGAP SNP	Nearest Gene
MSH5	6	5	1.77E-03	0.00246474	5	1.81E-03	2.61E-03	rs9271192	HLA-DRB5
HLA-DQA2	6	3	7.37E-03	6.50E-03	3	6.64E-03	6.01E-03	rs9271192	HLA-DRB5
CYP3A43	7	6	5.69E-03	4.09E-03	6	6.92E-03	5.09E-05	rs1476679	ZCWPW1
TAF6	7	4	3.97E-02	3.11E-02	4	1.44E-02	1.67E-04	rs1476679	ZCWPW1
MAP3K2	2	3	1.56E-02	1.48E-02	3	1.46E-02	1.28E-02	rs6733839	BIN1
ZSCAN21	7	2	8.40E-02	1.06E-01	2	1.80E-02	4.10E-03	rs1476679	ZCWPW1
BIN1	2	4	2.77E-02	3.36E-02	4	2.59E-02	2.88E-02	rs6733839	BIN1
CHGA	14	4	3.39E-02	3.31E-02	4	3.46E-02	3.56E-02	rs10498633	SLC24A4
NAT16	7	2	7.30E-02	8.31E-02	2	4.55E-02	1.05E-01	rs1476679	ZCWPW1
LGMN	14	4	5.24E-02	2.74E-02	4	4.70E-02	2.29E-02	rs10498633	SLC24A4
TNXB	6	25	4.79E-02	5.19E-02	25	4.91E-02	5.38E-02	rs9271192	HLA-DRB5
TFR2	7	6	1.04E-01	8.82E-02	6	1.12E-01	1.14E-02	rs1476679	ZCWPW1

Table 4. Results from FSKAT	gene-based ana	yses of all the genes within	1 MB of the AD loci im	plicated in the Lambert et al. re	port
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<sup>1</sup>Model- LOAD ~ Gene + Age + Sex + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components (without and without APOE  $\epsilon$ 4 adjustment).

<sup>2</sup>Model- LOAD ~ Gene + Age + Sex + number of APOE  $\varepsilon$ 4 alleles + proportion of African ancestry + proportion of Native American Ancestry + First ten principal components + Corresponding IGAP SNP (without and without APOE  $\varepsilon$ 4 adjustment).

results in at least two isoforms that localize to the centrosome and the Golgi apparatus, and interact with numerous signaling proteins from multiple signal transduction pathways.<sup>41</sup> *AKAP9* is also expressed in the cerebral cortex, hippocampus, and cerebellum, and is involved in the cytoskeletal attachment of NMDA receptors, important for controlling synaptic plasticity and memory function.<sup>42</sup>

In addition, missense mutations in Myelin Gene Regulatory Factor (MYRF) and Asparaginase-Like Protein 1 (ASRGL1) genes under linkage peak on chromosome 11q12.3 segregated completely in one LOAD family and tested nominally significant (P < 0.05) in association with LOAD in follow-up genotyping. The MYRF gene encodes a transcription factor that is required for central nervous system myelination and may regulate oligodendrocyte differentiation. It increases expression of genes that affect myelin production. Mutant huntingtin mice show progressive neurological symptoms and early death, as well as age-dependent demyelination and reduced expression of myelin genes that are downstream of MYRF.43 A missense mutation in ASRGL1 has been associated with autosomal recessive retinal degeneration,<sup>44</sup> but it has not been previously related to Alzheimer's disease or neurodegenerative disorders. In patients with retinal degeneration, variants in ASRGL1 are thought to be the cause of protein misfolding, intracellular mis-routing and aggregation of misfolded proteins. It is expressed in brain, specifically in astrocytes and oligodendrocytes.<sup>45</sup>

Examination of known LOAD genes (e.g., from large GWAS-based meta-analyses) confirmed the role of rare functional variation in *CR1* (P = 0.049), *BIN1* (P = 0.0098), and *SLC24A4* (P = 0.040). *CR1* encodes a

transmembrane glycoprotein in the innate immune system. It is a receptor for the complement components C3b and C4b. It has been consistently identified as a risk factor in Alzheimer's disease.<sup>3,4,46</sup> *BIN1* encodes the bridging integrator 1 gene, which has also been consistently associated with Alzheimer's disease in GWAS studies.<sup>4,47</sup> *BIN1* is present in neurons, oligodendrocytes and microglia, and its primary role is thought to be in endocytosis and trafficking in neurons and immune response in glia cells.<sup>48</sup> *SLC24A4* is a solute carrier that has been associated with pigmentation traits in European populations. However, more relevant to LOAD is its association with blood pressure in African Americans, as LOAD may be influenced by vascular disease.<sup>49</sup>

Of the genes identified within the 2 Mb region surrounding the previously identified GWAS loci from the IGAP study, 1-6 several were nominally significant. CYP3A43 is a member of the cytochrome P450 superfamily of enzymes. The cytochrome P450 proteins catalyze many reactions involved in drug metabolism and synthesis of cholesterol, steroids and other lipids, and are part of a cluster of cytochrome P450 genes on chromosome 7q21.1. MSH5 encodes a member of the mutS family of proteins that are involved in DNA mismatch repair or meiotic recombination processes. Women with premature ovarian failure were found to carry a mutation in MSH5 suggesting a role in meiotic recombination. Genetic variants in a gene within the major histocompatibility complex, HLA-DQA2, were associated with LOAD in this study. However, this gene has low expression in brain and has been associated with multiple inflammatory disorders.

Family-based WGS on previously localized linkage regions remains a particularly powerful strategy for causal variant identification. Several new disease susceptibility genes have been successfully identified using linkage analysis coupled with WGS, in complex phenotype disorders such as hearing impairment,<sup>50</sup> familial goiters,<sup>51</sup> and familial hypertension.<sup>52</sup>

Taken together the results here imply that rare variants in multiple genes are likely to increase the risk of LOAD. Large families multiply affected by LOAD are extremely helpful in identifying novel rare variants even in genes previously investigated by other means. For example, loci identified by genome wide array studies have pointed to a large number of genes many of which have rare variants suggesting that these loci were in linkage disequilibrium with one or more of the mutations. How variants in these multiple genes lead to a common phenotype of LOAD needs to be investigated. However, investigations of gene functions may point to potential targets for new treatments or preventive measures.

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NCRAD and NIAGADS: Biological samples and

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# **ADSP consortium members**

Baylor College of Medicine: Michelle Bellair, Huyen Dinh, Harsha Doddapeneni, Shannon Dugan-Perez, Adam English, Richard A. Gibbs, Yi Han, Jianhong Hu, Joy Jayaseelan, Divya Kalra, Ziad Khan, Viktoriya Korchina, Sandra Lee, Yue Liu, Xiuping Liu, Donna Muzny, Waleed Nasser, William Salerno, Jireh Santibanez, Evette Skinner, Simon White, Kim Worley, Yiming Zhu. Boston University: Alexa Beiser, Yuning Chen, Jaeyoon Chung, L. Adrienne Cupples, Anita DeStefano, Josee Dupuis, John Farrell, Lindsay Farrer, Daniel Lancour, Honghuang Lin, Ching Ti Liu, Kathy Lunetta, Yiyi Ma, Devanshi Patel, Chloe Sarnowski, Claudia Satizabal, Sudha Seshadri, Fangui Jenny Sun, Xiaoling Zhang. Broad Institute: Seung Hoan Choi, Eric Banks, Stacey Gabriel, Namrata Gupta. Case Western Reserve University: William Bush, Mariusz Butkiewicz, Jonathan Haines, Sandra Smieszek, Yeunjoo Song. Columbia University: Sandra Barral, Phillip L De Jager, Richard Mayeux, Christiane Reitz, Dolly Reyes, Giuseppe Tosto, Badri Vardarajan. Erasmus Medical University: Shahzad Amad, Najaf Amin, M Afran Ikram, Sven van der Lee, Cornelia van Duijn, Ashley Vanderspek. Medical University Graz: Helena Schmidt, Reinhold Schmidt; Mount Sinai School of Medicine: Alison Goate, Manav Kapoor, Edoardo Marcora, Alan Renton; Indiana University: Kelley Faber, Tatiana Foroud; National Center Biotech-Michael Feolo,Adam nology Information: Stine; National Institute on Aging: Lenore J. Launer; Rush University: David A. Bennett; Stanford University: Li Charlie Xia; University of Miami: Gary Beecham, Kara Hamilton-Nelson, James Jaworski, Brian Kunkle, Eden Martin, Margaret Pericak-Vance, Farid Rajabli, Michael Schmidt; University of Mississippi: Thomas H. Mosley; University of Pennsylvania: Laura Cantwell, Micah Childress, Yi-Fan Chou, Rebecca Cweibel, Prabhakaran Gangadharan, Amanda Kuzma, Yuk Yee Leung, Han-Jen Lin, John Malamon, Elisabeth Mlynarski, Adam Naj, Liming Qu, Gerard Schellenberg, Otto Valladares, Li-San Wang, Weixin Wang, Nancy Zhang; University of Texas Houston: Jennifer E. Below, Eric Boerwinkle, Jan Bressler, Myriam Fornage, Xueqiu Jian, Xiaoming Liu; University of Washington: Joshua C. Bis, Elizabeth Blue, Lisa Brown, Tyler Day, Michael Dorschner, Andrea R. Horimoto, Rafael Nafikov, Alejandro Q. Nato Jr., Pat Navas, Hiep Nguyen, Bruce Psaty, Kenneth Rice, Mohamad Saad, Harkirat Sohi, Timothy Thornton, Debby Tsuang, Bowen Wang, Ellen Wijsman, Daniela Witten; Washington University: Lucinda Antonacci-Fulton, Elizabeth Appelbaum, Carlos Cruchaga, Robert S. Fulton, Daniel C. Koboldt, David E. Larson, Jason Waligorski, Richard K. Wilson.

# **Conflict of Interest**

The authors have no conflicts of interest to disclose.

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# **Supporting Information**

Additional Supporting Information may be found online in the supporting information tab for this article:

#### Data S1. Methods.

**Figure S1.** Details of carriers of pV707I variant in TMEM132A in Caribbean Hispanic family 860.

**Table S1.** WGS rare exonic variants segrgating withLOAD in chromosome 7q14.3 linkage region.

 Table S2. Interesting variants segregatating with affection status in Chr 11 Linkage region.

 Table S3. Rare missense variants in candidate LOAD
 genes segregating with AD in Caribbean Hispanic families.

**Table S4.** Variant count across the chromosomes and linkage regions at each filtering step (corresponding to Fig. 1).

**Table S5.** Gene-based test for variants sets that includingvariants with CADD>30.

**Table S6.** Caribbean Hispanic families contributing to the linkage signals on chromosomes 7 and 11.

Table S7.Details of carriers of pv707I variant inTMEM132A.

 Table S8. For family 284, individual and family IDs are displayed as EFIGSA IDs.

Table S9. For familiy 348, individual and family IDs are displayed as EFIGA IDs.